

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

58

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/913006

INTERNATIONAL APPLICATION NO.
PCT/FR00/00308INTERNATIONAL FILING DATE
February 9, 2000PRIORITY DATE CLAIMED
February 10, 1999TITLE OF INVENTION MULTILAYER STRUCTURE WITH CONTROLLED INTERNAL STRESSES
AND METHOD FOR PRODUCING SUCH A STRUCTUREAPPLICANT(S) FOR DO/EO/US Hubert MORICEAU, Olivier RAYSSAC, Anne-Marie CARTIER,
Bernard ASPAR

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

Specification, claims and drawings;
Information SheetThe filing fee must be based upon the claims as amended and
added in the attached Preliminary Amendment.

518 Rec'd PCT/PTO 08 AUG 2001

U.S. APPLICATION NO. (IF KNOWN) SEE 37 CFR

09/912006

INTERNATIONAL APPLICATION NO.
PCT/FR00/00308ATTORNEY'S DOCKET NUMBER
4458

20. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☒ Search Report has been prepared by the EPO or JPO \$860.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00
- ☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00
- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). ☐ 20 ☐ 30

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	24 - 20 =	4	x \$18.00
Independent claims	2 - 3 =	0	x \$78.00

72.00

Multiple Dependent Claims (check if applicable). ☐**TOTAL OF ABOVE CALCULATIONS =**

\$932.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐**SUBTOTAL =**

\$932.00

Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). ☐ 20 ☐ 30 +**TOTAL NATIONAL FEE =**

\$932.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐**TOTAL FEES ENCLOSED =**

\$932.00

Amount to be:
refunded \$
charged \$☐ A check in the amount of to cover the above fees is enclosed.☒ Please charge my Deposit Account No. 01-1944 in the amount of \$932.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 01-1944 A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Anderson, Kill & Olick P.C
1251 Avenue of the Americas
New York, NY 10020-1182

(212) 278-1000

SIGNATURE

Eugene Lieberstein

NAME

24,645

REGISTRATION NUMBER

August 8, 2001

DATE

518 Rec'd PCT/FR 08 AUG 2001

09/913006

DOCKET NO. 4458

INFORMATION SHEET

This application is a continuation of PCT/FR00/00308 filed February 9, 2000.

TITLE: MULTILAYER STRUCTURE WITH CONTROLLED INTERNAL STRESSES AND
METHOD FOR PRODUCING SUCH A STRUCTURE

INVENTORS Hubert MORICEAU
26 Rue Du Fournet
F-38120, Saint-Egreve, France

Olivier RAYSSAC
10 Rue Du Vercors,
F-38000, Grenoble, France

Anne-Marie CARTIER
9 Route De Girardiére, Le Genevrey,
F-38450, Vif, France

Bernard ASPAR
110 Lot. Le Hameau Des Ayes,
F-38140, Rives, France

CITIZENSHIP FRANCE

PRIORITY: France – 99 01558 – February 10, 1999
PCT/FR00/00308 – February 9, 2000

09/913006

EXPRESS MAIL CERTIFICATE OF MAILING - SEPARATE PAPER

IN THE MATTER OF: Hubert MORICEAU et al

ATTORNEY'S DOCKET NO.: 4458

FOR: MULTILAYER STRUCTURE WITH CONTROLLED INTERNAL STRESSES AND
METHOD FOR PRODUCING SUCH A STRUCTURE

I hereby certify that the new PCT/DO application with:

 X transmittal letter X specification and claims declaration 6 sheets drawings X Information Sheet X Preliminary Amendment

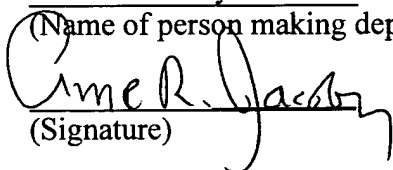
is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to Commissioner for Patents and Trademarks, BOX PCT, Washington, DC 20231

on August 8, 2001

EXPRESS MAIL LABEL NO.

EL763941517USAnne R. Jacoby

(Name of person making deposit)


(Signature)August 8, 2001

(Date)

Anderson Kill & Olick, P.C.
1251 Avenue of the Americas
New York, NY 10020-1182
1-212-278-1000